

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. UCHIDA, et al.

Application No.: 09/763,891

Filed: February 28, 2001

For: ABRASIVE LIQUID FOR METAL AND METHOD FOR POLISHING

Art Group: 1765

Examiner: L. Umez Eronini

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 & §1.98

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 20, 2004

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Written Opinion from the Australian Patent Office, submitted to representatives for Applicants in connection with a corresponding patent application in Singapore. Also enclosed are copies of documents cited in this Written Opinion, apparently not previously of record in the above-identified application. Further enclosed is a List, on Form PTO/SB/08A, of the enclosed documents.

This Information Disclosure Statement is being submitted subsequent to issuance of a Notice Of Allowance And Fee(s) Due in the above-identified application, which was mailed September 20, 2004, but prior to payment of the Issue Fee. For satisfying requirements of 37 CFR § 1.97(d), (1) submitted is the necessary fee under 37 CFR § 1.97(d)(2)(c) (see 37 CFR § 1.17(p)), and (2) the following Statement is made under 37 CFR § 1.97(e):

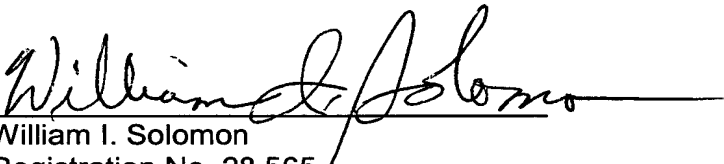
Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with each of the documents on the enclosed List. Accordingly, consideration of the listed documents; and, subsequent thereto, issuance of a U.S. patent based upon the above-identified application in due course, are respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper to the Deposit Account No. 01-2135 (Case No. 566.39787X00) and please credit any excess fees to such Deposit Account.

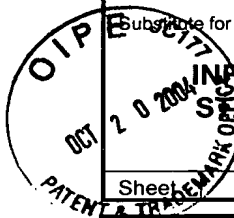
Respectfully submitted,

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
Sheet <u>1</u> of <u>1</u>		Application Number	09/763,891
		Filing Date	2/28/2001
		First Named Inventor	T. Uchida, et al.
		Art Unit	1765
		Examiner Name	L. Eronini
		Attorney Docket Number	566.39787X00

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 5,607,718		3/4/1997	Sasaki, et al.	
		US- 5,733,819		3/31/1998	Kodama, et al.	
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Written Opinion from the Australian Patent Office for Application No. SG 200200664-1, mailed August 23, 2004	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2